

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: J. Vernon KNIGHT et al.Application No./Patent No. 10/663,573Filed/Issue Date: September 16, 2003Entitled: SMALL PARTICLE LIPOSOME AEROSOLS FOR DELIVERY OF ANTI-CANCER DRUGSResearch Development Foundation, a non-profit

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: J Vernon Knight, Nadezhda Koshkina and Brian Gilbert To: Research Development Foundation
The document was recorded in the United States Patent and Trademark Office at
Reel 014589, Frame 0537, or for which a copy thereof is attached.

2. From: Claire F. Verschraegen To: The Board of Regents of the University of Texas System
The document was recorded in the United States Patent and Trademark Office at
Reel 020151, Frame 0859, or for which a copy thereof is attached.

3. From: The Board of Regents of the University of Texas System To: Research Development Foundation
The document was recorded in the United States Patent and Trademark Office at
Reel 020151, Frame 0873, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Monica De La Paz
Signature

December 14, 2007

Date

Monica A. De La Paz, Reg. No. 54,662

Printed or Typed Name

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Telephone Number

Attorney

Title

CLFR:158US

File Code